

## Thomas, Georgie

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**From:** Claude, Abram [Abram.Claude@fmr.com]  
**Sent:** Wednesday, August 18, 1999 7:20 AM  
**To:** Andrew R. Peterson (E-mail); Ed MacKay (E-mail); Georgie Thomas (E-mail); Hannah McCarthy (E-mail); Ingrid Lemaire (E-mail); Martha Gooze (E-mail); Mary Milliken (E-mail); Michael Cryans (E-mail); Mike Ablowich (E-mail); Richard A. Gustafson (E-mail); Sylvia Larsen (E-mail)  
**Cc:** Bonnyman, Leslie; Nottonson, Eric  
**Subject:** Next Commission Meeting

On the conference phone call held on Monday, Georgie asked me to notify the commission that there would **NOT** be a commission meeting on August 23 (some folks had it on their schedule and some did not).

A time will be arranged for September.

During the phone call we discussed the following:

Planning will begin this week for the annual audit and annual report for the UNIQUE Plan. Georgie explained that since the UNIQUE Plan is on NH's books and records the audit needs to be conducted in cooperation with the state audit group. There will be a preliminary meeting this week with Fidelity, Georgie, and Mike Buckley who is the legislative budget aid and Cathy Proventure from the state audit group to get things started.

Things are falling into place for the in-school distribution of UNIQUE brochures to elementary and middle school children in the fall. The package will be an envelope with the UNIQUE logo, a letter from Georgie, and the UNIQUE 6 panel brochure. Shipments with enough for packages for each school child will be sent to each school principal. The shipment will contain a cover letter from the Commissioner of Education asking the principal to ensure that each child be given one to take home.

In conjunction with this effort, we will submit a by-lined article for publication in the state chapter of the National Education Association (NEA) Newsletter which goes to all NH teachers.

The Governor's office has agreed to ask channel 9 to do another PSA for the UNIQUE plan. Fidelity will work with the Governor's office to devise a script and will try to include footage from the anniversary event.

Abram Claude

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## Minutes From 7/6/99 NH Advisory Commission Conference Call

### The following are update items on the UNIQUE Birthday Party

- A calendar listing and “brief” article has been sent to newspapers, broadcast media
- A full page ad (the current ad with a snipe that invites folks to the birthday party) plus a “brief” on the event is running in *Concord Monitor* supplement.
- One customer to speak at the event has been identified.
- A VIP invitation letter has been sent signed by Georgie Thomas and Sylvia Larson.
- A Letter has been drafted to send to local customers (in the Concord area), inviting them to event
- The Press materials will be drafted the week of 7-4 (lead release, milestones).
- A photographer has been hired to take shots at event.
- We are working through Gov’s office to create a one year update PSA with Gov. Shaheen – for Channel 9.
- The UNIQUE tent will be up starting on Wednesday 7-14. The tent will be staffed at the peak times (10:00 AM to 2:00 PM). A flyer is under development to pass out at the event starting Wednesday.
- 6 panel brochures will be available for distribution at the event.
- Working the week of 7-4-99 on press conference flow, overall talking points for Georgie, Governor, and the customer.
- Steve Mitchell will attend the birthday as Fidelity’s senior representative.

### Other topics discussed:

- There is progress in including 529 College Savings programs under the protection afforded by the bankruptcy bill currently before Congress. There will be a cap on what is protected. The cap is the highest contribution limit permitted by any 529 plan in which a participant has invested. This cap is to prevent sheltering of assets from creditors by opening accounts in multiple state plans.
- The delay in getting a private letter ruling from the IRS has been some last minute concerns from the US treasury Department that the UNIQUE plan does not hold assets equaling a 10% penalty on a liquidating distribution to cover the possibility of a refund from the college or university. A letter clarifying what the UNIQUE plan is doing and could do to cover refunds has been sent to the IRS.